



#6


Docket No. 109845-135

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Takashi Sera)	
)	
Serial No.: 09/911,261)	Group Art Unit: Not Yet Assigned
)	
Filing Date: July 23, 2001)	Examiner: Not Yet Assigned
)	
For: Zinc Finger Domain Recognition Code And)	
Uses Thereof)	

Certificate of Express Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: United States Patent and Trademark Office, Box Missing Parts, Post Office Box 2327, Arlington, VA 22202 on December 4, 2001.


M. Lisa Wilson
Reg. No. 34,045

United States Patent and Trademark Office
Box Missing Parts
Post Office Box 2327
Arlington, VA 22202

Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

To complete the filing requirements for the above-referenced application under 37 C.F.R. § 1.51, enclosed please find an executed Declaration and Power of Attorney. Also enclosed is an executed Appointment of Power of Attorney by Assignee of Entire Interest and a copy of the Notice to File Missing Parts of Application mailed October 4, 2001.

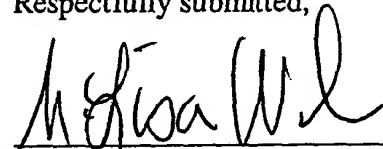
The Notice to File Missing Parts indicates that the previously submitted Sequence Listing does not comply with the requirements of 37 C.F.R. § 1.822 or 1.823. In response thereto, Applicant submits herewith a Preliminary Amendment, revised paper copy of a Sequence Listing as well as a copy of the Sequence Listing in Computer Readable Form ("CRF") as required under 37 C.F.R. § 1.821(e). Pursuant to 37 C.F.R. § 1.821(f), Applicant hereby states that the

sequence listing information recorded in computer readable form is identical to the paper copy submitted herewith. In addition, this submission contains no new matter as required under 37 C.F.R. §1.821(g).

The Commissioner is hereby authorized to debit our Deposit Account No. 08-0219 for \$130 which represents the surcharge fee. The Commissioner is also hereby authorized to credit any overpayment or charge any deficiency to our Deposit Account No. 08-0219.

If there are any questions, please call the undersigned at the telephone number indicated below.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "M. Lisa Wilson", written over a horizontal line.

M. Lisa Wilson
Reg. No. 34,045

December 4, 2001

Hale and Dorr, LLP
300 Park Ave.
New York, NY 10022
Tel.: 212-937-7200
Fax: (212) 937-7300
Direct Line: (212) 937-7258



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/911,261	07/23/2001	Takashi Sera	109845.133

28089
HALE AND DORR LLP
300 PARK AVENUE
NEW YORK, NY 10022

HALE & DORR DOCKETING

RE: 109845.135 US1
Action Date: 12/4/01 - MISSING PARTS
Action to be Taken: Due Date
Docketed by: GSX On: 10/23/01

CONFIRMATION NO. 3976

FORMALITIES LETTER



OC000000006843401

Date Mailed: 10/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

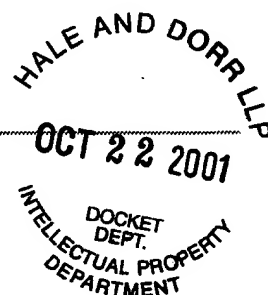
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

11/21/2002 MMARMOL 00000013 080219 09911261

01 FC:1051 130.00 CH



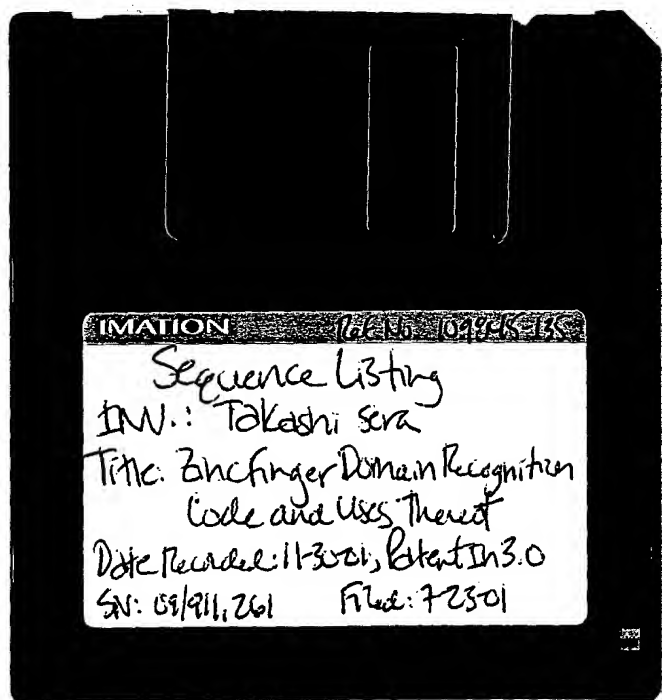
A copy of this notice MUST be returned with the reply.



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Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY





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PATENT
Attorney Docket No. 104845-135

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Takashi Sera
Serial No.: 09/911,261
Filing Date: July 23, 2001
For: Zinc Finger Domain Recognition Code Uses
Thereof
Examiner: Not Yet Assigned
Group Art Unit: Not Yet Assigned

Assistant Commissioner for Patents
Washington, DC 20231
Box Missing Parts

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, receipt no.: EL 727328200 US in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, Box Missing Parts on
Date: October 10, 2002 Reg. No. 34,045
Signature 
M. Lisa Wilson

SUBMISSION OF REPLACEMENT DOCUMENTS

Sir:

Enclosed please find replacement copies of correspondence filed with the U.S. Patent and Trademark Office (PTO) on December 4, 2001 in response to the Notice to File Missing Parts, mailed October 4, 2001 with respect to the above-identified patent application.

This correspondence was filed by Express Mail with the PTO on December 4, 2001 with sufficient postage in an envelope address to U.S. Patent and Trademark Office, Box Missing

Parts, P.O. Box 2327, Arlington, VA 22202.¹ A copy of the Express Mail Receipt is enclosed that shows a handwritten "date-in" as "12-4-01" and also a USPS postal stamp bearing a receipt date December 4, 2001 (Exhibit 1).

This correspondence was received by PTO in the Office of Initial Patent Examination (OIPE) on December 4, 2001 as evidenced by the return-addressed postcard stamped as received by the OIPE (Exhibit 2).

During a routine status review in mid-September, the undersigned discovered that the filing receipt had not yet been received. On September 27, 2002, the PTO's Patent Application Information Retrieval (PAIR) system indicated that the correspondence did not appear to be present in or associated with the application (copy of PAIR Page printout is Exhibit 3).

Ms. Nalini P. Sahadeo, a patent paralegal at Hale and Dorr, placed a telephone call to the PTO OIPE on September 30, 2002 and spoke with Mr. Tucker who advised her that this case appears to be with Johnson Control Work Station. Accordingly, it appears that the correspondence is lost in the PTO. This replacement correspondence is being promptly submitted after such discovery.

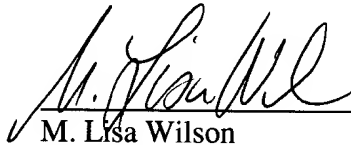
¹ The Arlington address was used pursuant to the "Notification Related to United States Postal Service Interruption," dated November 20, 2001 with respect to the suspension of the Express Mail Service of the USPS for mail addressed to ZIP Codes 202xx through 205xx, and pursuant to the notice "Termination of the Suspension of the "Express Mail" Service of United States Postal Service for mail addressed to ZIP Codes 202xx through 205xx," dated December 5, 2001, which permitted use of the Arlington address until further notice.

Mr. Tucker advised Ms. Sahadeo that she should send a facsimile transmission to fax number 703-746-7060 with a letter and copy of the correspondence as filed, explaining the situation. However, after advising Mr. Tucker that the correspondence was too lengthy for a facsimile and included a computer diskette, he confirmed this submission should be filed via Express Mail, for Box Missing Parts.

The enclosed correspondence is a true copy of that filed with the PTO on December 4, 2001 and is the Response to Notice to File Missing Parts of Application; Executed Declaration; Executed Appointment of Power of Attorney by Assignee of Entire Interest; a copy of the Notice to File Missing Parts of Application mailed October 4, 2001; Preliminary Amendment; Revised paper copy of Sequence Listing; and Sequence Listing in Computer Readable Form (CRF).

If any outstanding issues remain or further information is needed, the personnel of PTO is invited to contact the undersigned to resolve any matter.

Respectfully submitted,
Hale and Dorr, LLP

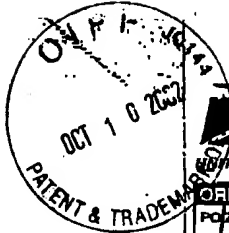


M. Lisa Wilson
Reg. No. 34,045

October 10, 2002

Hale and Dorr LLP
300 Park Avenue
New York, NY 10022
(212) 937-7258 (Direct Telephone)
(617) 526-6000 (Telephone)
(212) 937-7300 (Facsimile)

Exihibit 1



POST OFFICE TO ADDRESSEE



ORIGIN (POSTAL USE ONLY)		
PO ZIP Code 10022	Day of Delivery <input type="checkbox"/> Next <input checked="" type="checkbox"/> Second	Flat Rate Envelope <input type="checkbox"/>
Date in 12-4-02	Time in 19:22	Postage \$ 16.25
Weight 13 oz.	Int'l Alpha Country Code	Return Receipt Fee
No Delivery <input type="checkbox"/> Weekday <input type="checkbox"/> Holiday	Acceptance Clerk Initials [Signature]	Total Postage & Fees \$ 16.25

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HALE & DORR LLP 300 PARK AVE FL 22 NEW YORK	NY 10022-7402
L. Wilson	

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Exihibit 2

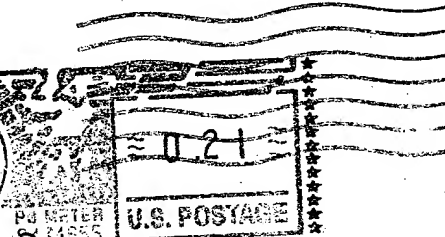
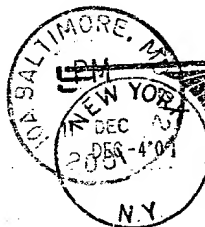


Title: Zinc Finger Domain Recognition Code
uses the
Serial or Patent #: 091,261 1st Inventor: Sera Applicant: _____

The following have been received by the US Patent and Trademark Office on the date stamped hereon.

- ☒ Express Mail Label No. EL7273251845 ☐ Fee Transmittal Form
- ☐ Certificate of Mailing - 37 CFR 1.8(a) ☐ Deposit Account Authorization \$ _____
- ☐ Applicant Claims Small Entity Status ☐ Copy of / ☐ Response to Notice to File Missing Parts
- ☐ Provisional Application Cover Sheet ☒ Preliminary Amendment
- ☐ New Application (_____ Design) ☐ IDS, PTO-1449, and _____ refs / pub (Supp _____)
- Specification - Total Pages _____ ☒ Sequence listing: ☐ CD-ROM ☒ Disk (CFR) ☒ Paper
- Formal Drawings - Total Sheets _____ ☐ Petition for _____ month extension of time
- ☐ CPA application ☐ RCE application ☐ Response to Office Action / Restriction Req.
- ☒ Oath or Declaration: ☒ newly executed ☐ Notice of Appeal
- _____ unsigned _____ copy from priority case ☐ Appellant's Brief (triplicate) ☐ Reply Brief
- ☒ Assignment and Recordation Form ☐ Issue Fee + _____ soft copies / ☐ Maintenance Fee
- ☐ Application Data Sheet (Initial Information Data Sheet)
- ☐ Continuation ☐ Divisional ☐ Continuation-in-Part of App No: _____
- ☐ Other: _____
- ☐ Other: _____

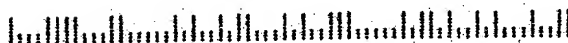
Mailing Date: 11/24/01 Attorney Docket No. 109845 Filed By: MLW



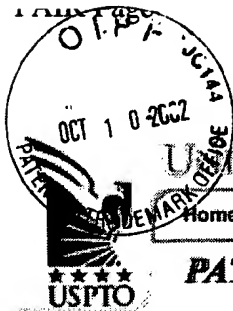
ATTN: M Lisa Wilson

HALE AND DORR LLP

300 Park Avenue
New York, NY 10022



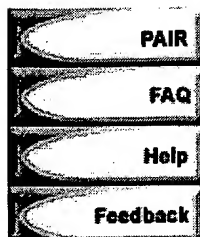
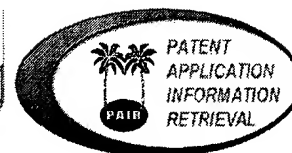
Exihibit 3



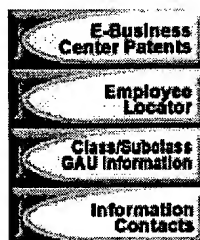
UNITED STATES PATENT AND TRADEMARK OFFICE

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PATENT APPLICATION INFORMATION RETRIEVAL



Other Links



Search results for application number: 09/911,261

Application Filing Date:	07-23-2001	Class / Sub-Class:	435/-
Issue Date of Patent:		Location:	OIPE - MISSING PARTS-CENTRAL FILE CP6 LOBBY
Examiner Name:		Status:	Application Undergoing Preexam Processing
Group Art Unit:	1645	Attorney Docket Number:	109845.133
Earliest Publication No:		Patent Number:	-
Earliest Publication Date:		Customer Number:	28089
Confirmation Number:	3976		

[Foreign Priority](#)[Continuity Data](#)[Publication Review](#)

File Contents History

Number	Date	Contents Description
6	10-04-2001	Incomplete Application under Rule 53(b) - Filing Date Assigned
5	10-03-2001	Correspondence Address Change
4	09-12-2001	CRF Is Flawed Technically / Not Entered into Database
3	08-13-2001	Application Scanned
2	07-23-2001	CRF Disk Has Been Received by Preexam / Group / PCT
1	07-23-2001	Initial Exam Team nn

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109845-135